



✓ SECT02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. 09/721,398
Filing Date 11/22/2000
Inventorship England et al.
Applicant Microsoft Corporation
Attorney's Docket No. MS1-654US
Title: Method and System for Allowing Code To Be Securely Initialized in a Computer

TRANSMITTAL LETTER AND CERTIFICATE OF MAILING

To: Commissioner of Patents and Trademarks,
Washington, D.C. 20231

From:



22801

PATENT TRADEMARK OFFICE

The following enumerated items accompany this transmittal letter and are being submitted for the matter identified in the above caption.

1. Transmittal letter including Certificate of Mailing
2. Notice to File Missing Parts of Application
3. Executed Declaration
4. Fee Transmittal
5. Return Post Card

Large Entity Status ☒ [x]

Small Entity Status ☐ []

Applicant hereby requests an extension of time in any case such an extension is necessary. The fee should be charged to the Deposit Account indicated below.

The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit Account No. 12-0769 in connection with any patent application filing fees under 37 CFR 1.16, and any processing fees, including any necessary extension fees under 37 CFR 1.17.

Date: 4/3/01

By:

Allan T. Sponseller
Reg. No. 38,318

CERTIFICATE OF MAILING

I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal Service as either first class mail, or Express Mail if the blank for Express Mail No. is completed below, in an envelope addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the below-indicated date. Any Express Mail No. has also been marked on the listed items.

Express Mail No. (if applicable)

Date: 4/3/01

By:

LeAnn M. Sassman
LeAnn M. Sassman



APR 06 2001
PATENT & TRADEMARK OFFICE
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/721,398	11/22/2000	Paul England	MS1-654US

CONFIRMATION NO. 2720

FORMALITIES LETTER



OC000000005885403

Allan T. Sponseller
Lee & Hayes, PLLC
421 W. Riverside Avenue, Suite 500
Spokane, WA 99201

Date Mailed: 03/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/09/2001 TBESHAH1 00000015 120769 09721398

FILED UNDER 37 CFR 1.53(b)

01 FC:101 710.00 CH
02 FC:103 900.00 CH
03 FC:102 320.00 CH
04 FC:105 130.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1220.
 - \$900 for 50 total claims over 20.
 - \$320 for 4 independent claims over 3.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2060.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)2,060.00

Complete if Known

Application Number	09/721,398
Filing Date	11/22/00
First Named Inventor	England
Examiner Name	
Group Art Unit	
Attorney Docket No.	MSI-65445

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number 12-0769
- Deposit Account Name Lee + Hayes, PLLC
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27
2. ☐ Payment Enclosed:
- ☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

	Large Entity	Small Entity			
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid	
101 710	201 355		Utility filing fee	<u>710</u>	
106 320	206 160		Design filing fee		
107 490	207 245		Plant filing fee		
108 710	208 355		Reissue filing fee		
114 150	214 75		Provisional filing fee		

SUBTOTAL (1) (\$)710.00

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims <u>70</u>	-20** = <u>50</u>	X <u>18</u>	= <u>900</u>
Independent Claims <u>7</u>	-3** = <u>4</u>	X <u>80</u>	= <u>320</u>
Multiple Dependent			

	Large Entity	Small Entity			
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description		
103 18	203 9		Claims in excess of 20		
102 80	202 40		Independent claims in excess of 3		
104 270	204 135		Multiple dependent claim, if not paid		
109 80	209 40		** Reissue independent claims over original patent		
110 18	210 9		** Reissue claims in excess of 20 and over original patent		

SUBTOTAL (2)

(\$)1,220

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

	Large Entity	Small Entity			
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid	
105 130	205 65		Surcharge - late filing fee or oath	<u>130</u>	
127 50	227 25		Surcharge - late provisional filing fee or cover sheet		
139 130	139 130		Non-English specification		
147 2,520	147 2,520		For filing a request for ex parte reexamination		
112 920*	112 920*		Requesting publication of SIR prior to Examiner action		
113 1,840*	113 1,840*		Requesting publication of SIR after Examiner action		
115 110	215 55		Extension for reply within first month		
116 390	216 195		Extension for reply within second month		
117 890	217 445		Extension for reply within third month		
118 1,390	218 695		Extension for reply within fourth month		
128 1,890	228 945		Extension for reply within fifth month		
119 310	219 155		Notice of Appeal		
120 310	220 155		Filing a brief in support of an appeal		
121 270	221 135		Request for oral hearing		
138 1,510	138 1,510		Petition to institute a public use proceeding		
140 110	240 55		Petition to revive - unavoidable		
141 1,240	241 620		Petition to revive - unintentional		
142 1,240	242 620		Utility issue fee (or reissue)		
143 440	243 220		Design issue fee		
144 600	244 300		Plant issue fee		
122 130	122 130		Petitions to the Commissioner		
123 50	123 50		Processing fee under 37 CFR 1.17(q)		
126 180	126 180		Submission of Information Disclosure Stmt		
581 40	581 40		Recording each patent assignment per property (times number of properties)		
146 710	246 355		Filing a submission after final rejection (37 CFR § 1.129(a))		
149 710	249 355		For each additional invention to be examined (37 CFR § 1.129(b))		
179 710	279 355		Request for Continued Examination (RCE)		
169 900	169 900		Request for expedited examination of a design application		

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)130.00

SUBMITTED BY

Name (Print/Type)	Allan T. Sponseller	Registration No. (Attorney/Agent)	38,318	Telephone	(509)324-9290
Signature	<i>ATS</i>	Date	4/3/01		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.